EXHIBIT 300   **UII 012-000001015**

Exhibit 300: Capital Asset Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview & Summary Information

Date Investment First Submitted: 2009-06-30
Date of Last Change to Activities: 2012-08-11
Investment Auto Submission Date: 2012-02-29
Date of Last Investment Detail Update: 2012-02-29
Date of Last Exhibit 300A Update: 2012-03-14
Date of Last Revision: 2012-08-11

Agency: 012 - Department of Labor   **Bureau:** 25 - Departmental Management

Investment Part Code: 01

Investment Category: 00 - Agency Investments

1. Name of this Investment: OCFO - DOL New Core Financial Management System (NCFMS)

2. Unique Investment Identifier (UII): 012-000001015

Section B: Investment Detail

1. **Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

   NCFMS is the modernized financial system of record for DOL, replacing the legacy system, DOLAR$. Through NCFMS, DOL is modernizing its financial management capabilities and supporting DOL's mission to uphold strong financial management principles and accountability, provide timely, accurate and reliable financial information, and enhance internal controls. NCFMS has 2,300 primary users and over 15,000 employees who benefit directly or indirectly from the system. NCFMS has interfaces with Human Resources, eTravel and Procurement systems. Additional costs were/are directed to achieve departmental financial controls, accommodate a four-fold user increase and normalize business operations not inherent in the COTS offering. For example, purchase card transactions are now posted through the accounting system; journal vouchers require separate preparer and approvers; invoices are accurately tracked for prompt payment compliance; financial statements are directly supported; subledger posting to general ledgers is sustained through transaction processing and monthly reconciliation.

2. **How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.**

EXHIBIT 300   **UII 012-000001015**

NCFMS closes the compliance and performance gaps identified in DOLAR$. NCFMS, which aligns with the FMLoB, facilitates improved efficiency and effectiveness by reducing duplicative and manual processes, while improving system responsiveness with real-time transactions. NCFMS continues to result in improved integration of business functions, faster routing and approvals, improved access to data and reports, and more powerful search and reporting capabilities. Moreover, NCFMS will result in real-time issue resolution, improved accountability and capability to audit transactions.   If NCFMS is not funded, then DOL will not continue to receive Clean Audit opinions for its financial statements. The Department s ability to manage its financial management services and ensure compliance with Federal Financial Management System Requirements (FFMSR) will be jeopardized.

3. **Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.**

 - Performed evaluation of OCFO organization to promote effective and efficient financial management operations that address system operations and underlying business process concerns - Established 37 issues list to identify and remediate configuration issues impacting achievement of normal operations - Established IPTs comprised of Business, Office of Financial Services (OFS) PMO, and the NCFMS Shared Service Provider (SSP) to promote integration and communication channels - Evaluated future NCFMS O&M requirements based upon changes to business processes, increased users, ongoing training requirements, testing and normalization of operations - Reviewed contractual support requirements for FY2012 and beyond - Rationalized NCFMS benefits and performance based upon impact of normalization.

4. **Provide a list of planned accomplishments for current year (CY) and budget year (BY).**

 FY12 Planned Accomplishments - Normalize business operations across the department through centralized accounting and reporting. (Normalization: DOL will perform their duties and responsibilities with little or no assistance from the service provider and is able use system services.) - Strengthen the quality controls and data transaction logic between the subledger posting to general ledger reducing manual reconciliation efforts for financial statements and Treasury reporting. - Implement fix asset depreciation functionality allowing department to show capital assets on the automated financial statements. - Fix contract transactions, i.e.,   relink contract actions to the accounting system of record to improve financial internal controls reducing risk of obligation misstatements. - Implement electronic invoicing to accelerate prompt payment resulting in reduced interest paid. - Strengthen automated processing and internal controls for purchase card transactions throughout the department. - Provide user the ability to create and deliver transactional extracts for use in external processes such as audit support, reconciliation, other system data feeds, etc. - Provide management with more advanced decisional analysis and executive reporting tools to support the department. - Implement working capital fund (WCF) automatic allocation processing to provide real-time indirect and direct revenue and cost reports. - Implement accounts payable refund module to automate refund processing across the department. - Further automate financial statements and Treasury reporting resulting in reduced manual reconciliation and position updates. - Automate the access and processing of Central Contractor Registration (CCR) data feeds from GSA. - Future NCFMS actions will consider

EXHIBIT 300   **UII 012-000001015**

Cloud/FEDRAMP certified solutions as the first priority. - Develop and release an RFI for migrating NCFMS to a new service provider. FY13 Planned Accomplishments - Initiate planning and activities for integration with DoL HR LOB systems - Start activities for integrating NCFMS with the new eTravel system providers - Develop, release and evaluate RFP for migration to a new cloud provider - Complete the DoL wide rollout of eInvoicing - Perform Oracle software upgrade from version 1206.6 to 12.1.3 (or most current version).

5. **Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2011-08-10

EXHIBIT 300   **UII 012-000001015**

Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding				
	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	$2.3	$0.0	$0.0	$0.0
DME (Excluding Planning) Costs:	$54.0	$0.0	$0.0	$0.0
DME (Including Planning) Govt. FTEs:	$4.2	$0.4	$0.5	$0.3
Sub-Total DME (Including Govt. FTE):	$60.5	$0.4	$0.5	$0.3
O & M Costs:	$9.1	$22.0	$20.1	$16.9
O & M Govt. FTEs:	$0.6	$0.7	$1.6	$1.9
Sub-Total O & M Costs (Including Govt. FTE):	$9.7	$22.7	$21.7	$18.8
Total Cost (Including Govt. FTE):	$70.2	$23.1	$22.2	$19.1
Total Govt. FTE costs:	$4.8	$1.1	$2.1	$2.2
# of FTE rep by costs:	26	8	9	9

Total change from prior year final President's Budget ($)		$2.5	$8.0	
Total change from prior year final President's Budget (%)		12.00%	56.20%	

EXHIBIT 300   **UII 012-000001015**

2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:

Funding increased due to delay in go-live date and closure of Phase 5 activities, additional financial statement and reporting and invoice processing support, and additional licenses and additional support necessary to support a larger than expected NCFMS user population. The Summary of Funding includes sunk costs related to the former effort to implement a financial system at DOL (LEAP).

EXHIBIT 300   **UII 012-000001015**

Section D: Acquisition/Contract Strategy (All Capital Assets)

				Table I.D.1 Contracts and Acquisition Strategy							
Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value ($M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded		DOLF099429531									
Awarded		DOLF099429563									
Awarded		DOLJ089427467									
Awarded		DOLU119432727									

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:
The contracts for which EVM is not required involve activities such as Enterprise Architecture Support and Project Management Support. These activities include level of effort tasks that do not lend themselves to EVM reporting. Under these contracts, activities with clearly defined scope and schedule are still captured and tracked in the project schedule which is subject to DOL s EVM program review and oversight.

EXHIBIT 300   **UII 012-000001015**

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2012-08-11

Section B: Project Execution Data

Table II.B.1 Projects					
Project ID	**Project Name**	**Project Description**	**Project Start Date**	**Project Completion Date**	**Project Lifecycle Cost ($M)**
11898	Remigration of Fixed Assets	Rewrite the asset migration code to accommodate an alternate non-standard depreciation method used in CATARS and recently discovered. Perform multiple mock re-migrations and perform production migration. Change Fixed Assets module to accommodate two different depreciation methods (one for migrated assets and another for new assets).			
11928	GL Data Assessment and Cleanup	Review and correct existing data in the system. Provide automate continuous monitoring of the data to ensure consistency. Cleanup plan, expand control reports, automate alerts.			
11933	Relink Contracts	Relink the contracts between EPS and NCFMS that are currently disconnected (not integrated) and recorded in NCFMS as a miscellaneous obligation (document type 19).			
12185	Oracle Financials Upgrade	Upgrade Oracle financials to the			

EXHIBIT 300   **UII 012-000001015**

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost ($M)
	(v12.06 to 12.1)	most recent release.			
123366	Completion of Normalization Activities (Phase 5 Closeout)	Complete and closeout implementation phase by completion of normalization items.			
12777	Implement Electronic Invoicing	eInvoicing will streamline AP prcoesses, reduce costs, eliminate erros, reduce processing time, increase visilbility, strengthen internal controls and improve service levels.			
12950	WCF Automation	Roll-out Project/Task to the user communities. Automate transactions in WCF.			
12972	Integrate PBMS with NCMFS	Integrate PBMS with NCFMS to support a single repository of accounting lines between the two systems.			
12973	Integrate NCFMS with CCR in real-time	Enhance the system to integrate with CCR web services in real-time. Automatically add vendors are "doing business with" upon usage in a procurement or payment.			
12995	Data Extract Automation	Enhance the system ability to create and deliver data extracts in a more self-service model.			
12996	Enhance Pcard Module	Improve Pcard module usability. Provide ability to reconcile across fiscal years, provide ability to handle credits.			

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities	End Point Schedule Variance	End Point Schedule Variance (%)	Cost Variance ($M)	Cost Variance (%)	Total Planned Cost ($M)	Count of Activities

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities ($M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance ($M)	Cost Variance (%)	Total Planned Cost ($M)	Count of Activities
		($M)	(in days)					
11898	Remigration of Fixed Assets							
11928	GL Data Assessment and Cleanup							
11933	Relink Contracts							
12185	Oracle Financials Upgrade (v12.06 to 12.1)							
123366	Completion of Normalization Activities (Phase 5 Closeout)							
12777	Implement Electronic Invoicing							
12950	WCF Automation							
12972	Integrate PBMS with NCMFS							
12973	Integrate NCFMS with CCR in real-time							
12995	Data Extract Automation							
12996	Enhance Pcard Module							

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
11933	Phase 1: Relinking Analysis	Relink the contracts between EPS and NCFMS that are currently disconnected (not	2011-12-16	2011-12-16	2011-12-16	46	0	0.00%

EXHIBIT 300   **UII 012-000001015**

		Key Deliverables						
Project Name	**Activity Name**	**Description**	**Planned Completion Date**	**Projected Completion Date**	**Actual Completion Date**	**Duration (in days)**	**Schedule Variance (in days)**	**Schedule Variance (%)**
		integrated) and recorded in NCFMS as a miscellaneous obligation (document type 19).						
11898	Perform Mock & Production Migrations	Perform multiple mock re-migrations and perform production migration. Change Fixed Assets module to accommodate two different depreciation methods (one for migrated assets and another for new assets).	2012-03-07	2012-08-31		75	-177	-236.00%

Section C: Operational Data

Table II.C.1 Performance Metrics								
Metric Description	**Unit of Measure**	**FEA Performance Measurement Category Mapping**	**Measurement Condition**	**Baseline**	**Target for PY**	**Actual for PY**	**Target for CY**	**Reporting Frequency**
Maintain a clean audit opinion demonstrating zero material weakness for NCFMS	Number	Mission and Business Results - Management of Government Resources	Under target	0.000000	0.000000	3.000000	0.000000	Semi-Annual
Percentage of invoices paid on time according to Prompt Payment Act	Percentage	Technology - Effectiveness	Over target	0.000000	0.000000		0.980000	Monthly
Customer Satisfaction Survey - measure customer satisfaction in regards to system response time inquiries.	Percentage	Customer Results - Customer Benefit	Over target	0.000000	0.000000		0.500000	Quarterly
Percentage of SLA s that meet their performance baseline	Percentage	Technology - Quality Assurance	Over target	0.000000	0.000000		0.750000	Quarterly
Customer Satisfaction Survey - measure customer satisfaction in regards to communication efforts.	Percentage	Customer Results - Customer Benefit	Over target	0.000000	0.000000		0.500000	Quarterly
Customer Satisfaction Survey - measure customer satisfaction in regards to training efforts.	Percentage	Customer Results - Customer Benefit	Over target	0.000000	0.000000		0.500000	Quarterly
Customer Satisfaction Survey - measure customer satisfaction in regards to system modifications.	Percentage	Technology - Reliability and Availability	Over target	0.000000	0.000000		0.500000	Quarterly
Customer Satisfaction Survey - measure	Percentage	Mission and Business Results -	Over target	0.000000	0.000000		0.500000	Quarterly

EXHIBIT 300   **UII 012-000001015**

Table II.C.1 Performance Metrics								
Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
customer satisfaction in regards to system bug fixes.		Management of Government Resources						